

Questionnaire	Section	Field	Question	Response	Follow-Up Question
Alternative Data	General Firm	Company Name	What is your Company's name, and legal name if different?		
Alternative Data	General Firm	Company Address	What is your Company's principal business/headquarters address?		
Alternative Data	General Firm	Registered Address	Is your principal business address different than your registered address?	<input type="checkbox"/> Yes, <input type="checkbox"/> No,	If Yes, Please provide your registered address.
Alternative Data	General Firm	Affiliates	Please provide the names of any Affiliates, if relevant, concerning the Data:		
Alternative Data	General Firm	Business History	How many years have you been in the data business?		
Alternative Data	General Firm	Regulated Entities	Is the Company or any Affiliate a regulated entity?	<input type="checkbox"/> Yes, <input type="checkbox"/> No,	If Yes, please provide details.
Alternative Data	General Firm	Investments from Data	Does the Company make investments or allow its employees to make investments based on the Data?	<input type="checkbox"/> Yes, <input type="checkbox"/> No,	If Yes, please explain in more detail
Alternative Data	General Firm	Primary Sales Contact	Please provide the name of Executive Relationship Manager, Account Representative, or other primary sales contact:		
Alternative Data	General Firm	Review of these Responses	Please provide the names of at least two persons who have reviewed the responses to this questionnaire and what function they hold at the Company. If you have a legal or compliance department, at least one person from one of those departments should review these responses.		
Alternative Data	General Firm	Effective Date	What is the effective date of your responses to this questionnaire?		
Alternative Data	Dataset Information	Data Description	Please describe the Data or provide a description from your data catalog, tear sheet, or other documentation.		
Alternative Data	Dataset Information	Buy or Collect from 3rd Party	Do you buy or collect the Data from others?	<input type="checkbox"/> Yes, <input type="checkbox"/> No,	If Yes, please provide any relevant Terms & Conditions or Agreements with those persons related to the Data, such as user consents to collection and dissemination of anonymized personal data or terms demonstrating your ability to resell the Data or to create derived Data products. You may redact such agreements as necessary to comply with your confidentiality obligations.
Alternative Data	Dataset Information	Collection Methods	Please indicate whether any of these data-generating or collection methods are used to create the Data (select all that apply):	<input type="checkbox"/> Web scraping, <input type="checkbox"/> Apps; browser plugins; from consumers generally, <input type="checkbox"/> From third party aggregators, <input type="checkbox"/> IoT devices, <input type="checkbox"/> Financial transaction/exchange, <input type="checkbox"/> Government/public sources (please explain in more details in Comments), <input type="checkbox"/> On-premise data collection (e.g. counting cars in parking lots) , <input type="checkbox"/> Calls with public companies, expert networks, surveys of companies/individuals, <input type="checkbox"/> Satellite/drone/radar collection , <input type="checkbox"/> Other (please describe in more details in Comments)	
Alternative Data	Dataset Information	Third Party Dependencies	Are there any third-party dependencies and/or access restrictions to the Data that would impact our receipt and/or use of the Data (e.g., expiration of upstream license agreement(s), anticipated updates to website/app terms of service through which the data is collected, changing privacy regulations (i.e., CPRA)?	<input type="checkbox"/> Yes, <input type="checkbox"/> No,	If Yes, please explain in more detail
Alternative Data	Dataset Information	Data Storage	Where are the Data stored? In which countries/states/clouds are the Data stored?		
Alternative Data	Dataset Information	Data Dissemination	Is the Data disseminated simultaneously to all customers?	<input type="checkbox"/> Yes, <input type="checkbox"/> No,	If No, please describe why.
Alternative Data	Dataset Information	Data Due Diligence	Please describe any diligence you perform on the Data to determine whether the persons or companies providing the underlying information contained in the Data have the legal right to do so.		
Alternative Data	Dataset Information	Due Diligence on Suppliers	If applicable, please describe any other due diligence performed on third-party data suppliers.		
Alternative Data	Dataset Information	Data Provider Contracts	Does the company have contracts in place with primary data providers?	<input type="checkbox"/> Yes, <input type="checkbox"/> No,	If Yes, please attach redacted copies of those contracts.

Alternative Data	Documents	Document: Data Dictionary	Do you have a data dictionary, data schema, or other document listing the fields provided in the Data and describing the types of values that may be contained within each field?	<input type="checkbox"/> Yes, <input type="checkbox"/> No,	If Yes, please attach the document.
Alternative Data	Documents	Document: Sample	Do you have a small sample of the Data in human-readable form? This sample should contain less than 100 rows of Data. The sample Data should be from more than 3 months ago.	<input type="checkbox"/> Yes, <input type="checkbox"/> No,	If Yes, please attach the document.
Alternative Data	Documents	Document: 3rd Party Data	Do you buy Data from others?	<input type="checkbox"/> Yes, <input type="checkbox"/> No,	If Yes, please attach a copy of any relevant terms from your contracts with those persons. These terms should show your legal right to resell or license the Data to us and/or to sell/license newly created (i.e. "derived") Data products.
Alternative Data	Documents	Document: Data from Devices	Do you collect or generate Data from or about individuals or their devices?	<input type="checkbox"/> Yes, <input type="checkbox"/> No,	If Yes, please attach a copy of any consent or other terms you have entered into with those individuals concerning Data collected from or about them or their devices.
Alternative Data	Documents	Document: Describing the Data	Do you have a document describing the Data, such as a tear sheet, brochure, or other marketing literature?	<input type="checkbox"/> Yes, <input type="checkbox"/> No,	If Yes, please attach the document.
Alternative Data	Material Non-Public Information	MNPI	Does the Data contain any MNPI or inside information, or is it potentially derived from MNPI or inside information?	<input type="checkbox"/> Yes, <input type="checkbox"/> No,	If Yes, please explain in more detail
Alternative Data	Material Non-Public Information	MNPI Exposure	Does the Company engage in any activities that involve, or expose it to, MNPI, regardless of whether the Data relating to this request contains or is potentially derived from MNPI?	<input type="checkbox"/> Yes, <input type="checkbox"/> No,	If Yes, please explain in more detail
Alternative Data	Material Non-Public Information	Confidential Information	Does the Data contain or is it derived from any information that is otherwise confidential? For example, your license to the Data is covered by a non-disclosure agreement.	<input type="checkbox"/> Yes, <input type="checkbox"/> No,	If Yes, please explain in more detail
Alternative Data	Material Non-Public Information	MNPI Due Diligence	Please describe any diligence you perform on the Data concerning issues surrounding MNPI, inside information, or otherwise confidential information.		
Alternative Data	Material Non-Public Information	Fiduciary Duty, Breach of Contract, Deceptive Behavior	Have you used reasonable best efforts to determine that any data you use to create the Data was not derived from a breach of fiduciary duty, breach of contract or deceptive behavior? And are you reasonably sure the Data does not contain any of the forgoing?	<input type="checkbox"/> Yes, <input type="checkbox"/> No,	If No, please describe why.

**Confidential to Plia and Urvin LLC**

**DO NOT FORWARD OR SHARE. PROPRIETARY TO URVIN LLC.**

**January 13, 2024**