

## **35 fully active brokers available on the PLIA KYB Platform (for due diligence questionnaires)**

Bank of America Merrill Lynch	Guzman & Co.
Barclays	HSBC
Berenberg Bank	Instinet
Bernstein	Jefferies
BNP Paribas	JP Morgan
BTIG	Liquidnet
Carnegie	Macquarie
CIBC World Markets, Inc.	Morgan Stanley
Citigroup	Piper Jaffray
CLSA - Credit Lyonnais	Piper Sandler & Co
Cowen and Company	Raymond James
Credit Suisse	RBC Capital Markets
Daiwa Capital Markets	Redburn
Danske Bank	Societe Generale
EFG Hermes	UBS
Evercore	Virtu ITG
Exane BNP Paribas	Wells Fargo Securities
Goldman Sachs	

## In addition the following 126 brokers actively respond to Data Requests on the PLIA KYB Platform

ABN Amro	Deutsche
Absa Bank Limited	DNB Bank ASA
Acumen Financial	DZ BANK AG DEUTSCHE ZENTRAL-GENO
African Alliance Securities	Edelweiss Securities Limited.
Al Rajhi Capital	Eight Capital
Alantra Equities	Emkay Global Financial Services Ltd.
Ambit Group	Emmet & Co, Inc.
Anand Rathi Share & Stock Brokers LTD	Equirus
Antique Stock Broking Limited	Equita Sim Spa Milano (Europe)
Arqaam	Exotix (Europe)
Atisa Securities Pty Ltd	FinnCap Ltd
Atlantic Equities LLP	First Abu Dhabi Bank
Auerbach Grayson	FIS Global - Fox River
Avior Capital Markets Int'l	Fransi Capital
Axis Capital Limited	GMP Securities
BMO Capital Markets	Goodbody Stockbrokers
BNY Mellon	Grupo Bancolombia
BRAC EPL Stock Brokerage Ltd.	Gulf Baader
Bryan Garnier	Guotai Junan Securities (Hong Kong) Lir
Canaccord Genuity	Haitong International Securities Group
Cantor Fitzgerald	Ho Chi Minh City Securities Corporation
CCB International Securities Limited	ICBC STANDARD BANK PLC
CF Global	ICICI Securities Inc
CGS-CIMB	IDFC Securities LTD
China Intl Capital Corp - CICC	IDLC Securities Limited
China Renaissance Securities	India Infoline
Cormark Securities Inc.	Investec
Dalal & Broacha STK BK PVT Ltd	Jane Street Financial
Davy Group	JM Financial Institutional Securities Pvt I
DBS BANK LTD	Jones Trading
Desjardins Securities International Inc.	KBC Securities

KBW - Keefe, Bruyette & Woods	PKO Bank Polski
Kepler Cheuvreux	Prabhudas Lilladher
KeyBanc	Renaissance Capital
Korea Investment & Securities	Renaissance Securities (Cyprus) Limited
Kotak Securities	Robert W. Baird
Larrain Vial	RW Pressprich & Co.
Laurentian Bank	RWC
Liberum Capital Limited	Samsung Securities (Europe) Ltd
Lombard Forte	SBI Capital
Loop Capital Markets LLC	Scotia Capital
Luminex	Shore Capital Stockbrokers Ltd
MainFirst Bank AG	SMBC Nikko Securities
Mizuho International plc	Spark Capital Advisors
Motilal Oswal Securities	Standard Chartered Bank
MUFG Securities EMEA PLC	Stifel Nicolaus
N+1	Suntrust Robinson Humphrey
National Bank Financial	Susquehanna Financial Group, LLLP
Nomura International Plc	TD Securities LLC
Nordea Markets	TEB Turkish Economy Bank
Northern Trust Securities	Themis Trading
Numis Securities	TPCG Financial Services Agente de Valore
Optiver	TradeWeb Direct LLC
OTP Bank	Vontobel
Oversea-Chinese Banking Corporation Limited	VTB Capital
Pavilion Global Markets Ltd	W.H. Ireland Ltd
Peel Hunt LLP	Wall Street Access
Penserra	Wallachbeth Capital
Peters & Co. Limited	Weeden & Co. LP
Pharos	Wells Fargo Securities
Phillip Capital	Winterflood Securities Ltd
PI Financial	XP Securities
Piper Jaffray	Yuanta Securities